2155

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of, 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application No. 09/740,502 TRANSMITTAL FORM December 19, 2000 Filing Date PECEIVED (to be used for all correspondence after initial filing) First Named Inventor Barry Boone 8 2003 JAN 2 **Group Art Unit** 2152 Center 2100 **Examiner Name** Not Yet Assigned Technology Total Number of Pages in This Submission Attorney Docket Number 3801P026 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request Terminal Disclaimer** Postcard, Copies of Cited Art Information Disclosure Statement Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm André L. Marais, Reg. No. 48,095 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date 01/16/02 **CERTIFICATE OF MAILING/TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Typed or printed name Leslie D. Rogan

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Docket No.: 3801P026



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

**BARRY BOONE** 

Application No.: 09/740,502

Filed: December 19, 2000

For: METHOD AND APPARATUS FOR

PROVIDING PREDEFINED FEEDBACK

Art Group: 2152

Examiner: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully	submitted.
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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 01/14/03

André L. Marais, Reg. No. 48,095

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 947-8200

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(use as many sheets as necessary)

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of

Complete if Known				
Application Number	09/740,502			
Filing Date	December 19, 2000			
First Named Inventor	Barry Boone			
Art Unit	2152			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	3801P026			

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,029,141	02-22-2000	Bezos, et al.	
_		US-6,275,811 B1	08-14-2001	Ginn	
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	Foreign Patent Document			Pages, Columns, Lines,	Ţ.	
	Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1'	
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Examiner	Date
Signature	Considered

<sup>\*</sup>Examiner: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Complete if Known **Application Number** 09/740,502 Filing Date December 19, 2000 First Named Inventor Barry Boone Art Unit 2152 **Examiner Name** Not Yet Assigned Attorney Docket Number 3801P026

		3801F020	
		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
		VENDELO, MORTEN THANNING; "Becoming Legitimate Through Storytelling"; International Studies of Management & Organization; 1998; Vol. 28, No. 3; pp. 120-137	
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		AHO, ALFRED V., ET AL.; Data Structures and Algorithms; 1983; pp. 75-89; Addison-Wesley Publishing Company	

Examiner	 Date	
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